

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court**  
**Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Permal Stone Lion Fund Ltd.

Name of Transferee

MORGAN STANLEY SENIOR FUNDING, INC.

Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 33591

Amount of Claim Transferred: \$53,628.90

Date Claim Filed: 09/22/2009

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33614

Amount of Claim Transferred: \$119,035.04

Date Claim Filed: 09/22/2009

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33659

Amount of Claim Transferred: \$171,753.04

Date Claim Filed: 09/22/2009

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33582

Amount of Claim Transferred: \$41,454.67

Date Claim Filed: 09/22/2009

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33585

Amount of Claim Transferred: \$59,341.99

Date Claim Filed: 09/22/2009

Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33588  
Amount of Claim Transferred: \$24,809.60  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT  
MANAGER

By:   
Transferee/Transferee's Agent **Claudia Borg**  
Authorized Signatory

Date: 6/5/14

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transferor's Agent  
**John Ragusa**  
Authorized Signatory

Date: 6-5-14

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Permal Stone Lion Fund Ltd.

Name of Transferee

MORGAN STANLEY SENIOR FUNDING, INC.

Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 33614  
Amount of Claim Transferred: \$211,004.14  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33659  
Amount of Claim Transferred: \$26,728.71  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33582  
Amount of Claim Transferred: \$73,483.45  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33585  
Amount of Claim Transferred: \$105,190.92  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

Court Claim # (if known): 33588  
Amount of Claim Transferred: \$43,978.06  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT  
MANAGER

By:   
Transferee/Transferee's Agent **Claudia Borg**  
**Authorized Signatory**

Date: 6/5/14

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transferor's Agent  
**John Ragusa**  
**Authorized Signatory**

Date: 6-5-14

**EXHIBIT B**

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court  
Southern District of New York**

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Case No. 08-13555(JMP)

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee and Transferor hereby give evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice. Transferor waives its right to raise any objection to the transfer of the claim to Transferee, and Transferor waives its right to receive notice pursuant to Rule 3001 (e), Fed. R. Bankr. P. of the transfer of the claim. Transferor consents to the substitution of Transferor by Transferee for all purposes in the above referenced bankruptcy cases with respect to the claim, including without limitation, for voting and distribution purposes. Transferor stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the claim to Transferee and recognizing Transferee as the sole owner and holder of the claim. Transferor hereby directs that all payments, distributions, notices and communications in respect of or with respect to the claim be made to Transferee.

Permal Stone Lion Fund Ltd.

Name of Transferee

Morgan Stanley Senior Funding, Inc.

Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 66107 (which amended 33613)

Amount of Claim: \$277,724.52

Date Claim Filed: January 12, 2010 (originally filed September 18, 2009)

Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.

BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT MANAGER

By:  Date: 6/5/14  
Transferee/Transferee's Agent  
**Claudia Borg**  
**Authorized Signatory**

Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:  Date: 6-5-14  
Transferor/Transferor's Agent  
**John Ragusa**  
**Authorized Signatory**

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PERMAL STONE LION FUND LTD.  
Name of Transferee

MORGAN STANLEY SENIOR FUNDING, INC.  
Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 33160  
Amount of Claim Transferred: \$87,316.59  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P.,  
INVESTMENT MANAGER

By:   
Transferee/Transferee's Agent **Claudia Borg**  
**Authorized Signatory**

Date: 6/5/14

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transferor's Agent **John Ragusa**  
**Authorized Signatory**

Date: 6-5-14

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PERMAL STONE LION FUND LTD.  
Name of Transferee

MORGAN STANLEY SENIOR FUNDING, INC.  
Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 33160  
Amount of Claim Transferred: \$7,109.75  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P.,  
INVESTMENT MANAGER

By:   
Transferee/Transferee's Agent **Claudia Borg**  
**Authorized Signatory**

Date: 6/5/14

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Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transferor's Agent  
**John Ragusa**  
**Authorized Signatory**

Date: 6-5-14

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Name of Transferee

MORGAN STANLEY SENIOR FUNDING, INC.

Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 27310  
Amount of Claim Transferred: \$280,820.31  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT  
MANAGER

By:   
Transferee/Transferee's Agent

Claudia Borg  
Authorized Signatory

Date: 6/5/14

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Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transfer Agent  
John Ragusa  
Authorized Signatory

Date: 6-5-14

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Name of Transferee

MORGAN STANLEY SENIOR FUNDING, INC.  
Name of Transferor

Name and Address where notices to transferee should be sent:

555 5th Ave, 18th Floor  
New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 27310  
Amount of Claim Transferred: \$111,089.80  
Date Claim Filed: 09/22/2009  
Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT  
MANAGER

By:   
Transferee/Transferee's Agent **Claudia Borg**  
**Authorized Signatory**

Date: 6/5/14

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Acknowledged and agreed:

MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transferor's Agent **John Ragusa**  
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Name of Transferor

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New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 27310

Amount of Claim Transferred: \$111,089.81

Date Claim Filed: 09/22/2009

Debtor: Lehman Brothers Special Financing Inc.



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT  
MANAGER

By: 

Transferee/Transferee's Agent **Claudia Borg**  
**Authorized Signatory**

Date: 6/5/14

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MORGAN STANLEY SENIOR FUNDING, INC.

By: 

Transferor/Transferor's Agent  
**John Ragusa**  
**Authorized Signatory**

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New York, NY 10017  
Attention: Brian Wood  
Telephone#: 212-843-1243  
Fax#: 212-843-1376  
Email: bwood@stonelioncapital.com

Court Claim # (if known): 19936  
Amount of Claim Transferred: \$166,634.71  
Date Claim Filed: 09/21/2009  
Debtor: Lehman Brothers Special Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

PERMAL STONE LION FUND LTD.  
BY: STONE LION CAPITAL PARTNERS L.P., INVESTMENT  
MANAGER

By:   
Transferee/Transferee's Agent **Claudia Borg**  
**Authorized Signatory** Date: 6/5/14

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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MORGAN STANLEY SENIOR FUNDING, INC.

By:   
Transferor/Transferor's Agent **John Ragusa**  
**Authorized Signatory** Date: 6-5-14